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Starting or Adding to Your International Executive Portfolio

For individual, corporate and trustee investors

This document was last updated in February 2020. Please confirm with your Financial Adviser that this is the most up-to-date document for your needs.

Financial adviser details

Quilter International account number	<input type="text"/>
Adviser company name	<input type="text"/>
Name of financial adviser	<input type="text"/>
Adviser company address	<input type="text"/>
	<input type="text" value="Postcode"/>
Telephone number	<input type="text"/>
Email address	<input type="text"/>

Quilter International only accepts business introduced by financial adviser companies which have Terms of Business with us.

Notes – Before you complete your application

We only sell our products through financial advisers as we believe it is important that you receive independent financial advice. As it is you who chooses your financial adviser, you need to bear in mind that they are acting on your behalf and not on behalf of Quilter International. You are responsible for their actions or omissions.

All references to Quilter International, **we**, **us** and **our** in this application form mean Quilter International Isle of Man Limited who is the provider of the International Executive Portfolio.

Important information for you – the applicant

For individual applicants only: Your application can be submitted online via Wealth Interactive. If you choose this option, your application can be submitted to us immediately without the delay that can be experienced through the postal system.

Please note this application form must not be used by applicants resident in the UK, Hong Kong, Singapore or the United States of America or its territories.

Before completing the application form, please make sure you have received and read through the relevant product literature:

- the International Executive Portfolio product brochure.
- the Policy Terms 'Details of your International Executive Portfolio - Life' (ref IPL1), or 'Details of your International Executive Portfolio - Redemption' (ref IPR1).
- the 'International Executive Portfolio - Life at a glance', or 'International Executive Portfolio - Redemption at a glance'.
- the 'charge sheet', which confirms the charges that will apply to your Portfolio.

Your Financial Adviser will be able to provide you with the relevant International Executive Portfolio product literature.

Key information document (KID)

A KID is a short document that describes the product's key features and target market, as well as the cost of owning the product and the risks associated with investment. It allows you to make comparisons with similar products from Isle of Man Financial Services Authority authorised insurers.

Under the Isle of Man Financial Services Authority's Insurance (Conduct of Business) (Long Term Business) Code 2018, Quilter International is required to provide you with a KID if you are applying for a new policy or adding to an existing policy. You should make sure you read your KID before completing this application. It can be obtained from your Financial Adviser.

If you are starting a new policy, you must sign and return the KID to Quilter International before we can accept your application.

If you are adding to an existing policy, you do not need to return the KID to us.

Applications from the United Arab Emirates (UAE)

If you are starting a new policy and you are receiving advice in the UAE, then we will not provide a KID.

Similarly, we will not provide a KID if you are adding to a policy that was originally set up in the UAE and you are still using a UAE licensed Financial Adviser. However, if your Financial Adviser is not licensed in the UAE, we are required to provide you with a KID.

The exemption is conditional on us making the following disclosure to you:

"The Isle of Man Financial Services Authority's Insurance (Conduct of Business) (Long Term Business) Code 2018 requires Isle of Man authorised insurers to put in place measures to ensure the fair treatment of their customers, including providing you with information about this investment product to help you understand the nature, risks and cost of this product. As this product is being sold to you through your intermediary in the United Arab Emirates, the insurer is not obliged under local regulations to provide you with the same information.

If you have any questions about the information that has been provided to you in deciding to purchase this product, you should raise them with your adviser. In the event of any issues or concerns regarding the sale of the product recourse for complaints will be to your adviser."

Online service account on Wealth Interactive

For individual applicants only: When you apply for your Portfolio, we will automatically set you up with an Online Service Account on our online service Wealth Interactive.

You will receive an email from us containing a link that lets you activate this service.

Once your policy and your Online Service Account are live, you can sign in to Wealth Interactive whenever you want to review your policy and carry out key transactions.

You can also find all the information you need about your investment with us in one place.

When we need to send you communications, such as policy valuations, we will generally do this through your Online Service Account, although there will be times when we still need to correspond with you by post.

If you would prefer to receive ongoing communication from us by post rather than online, please tick here (✓)

Your right to cancel

You have the right to cancel your International Executive Portfolio or additional investment and obtain a refund of any premium(s) paid, less any applicable charges* and any fall in the value of the Assets linked to your Portfolio. You have 30 days from the date that you receive the Schedule for the initial premium, or letter accepting the additional investment, to let us know you want to cancel. Further information on how to cancel can be found in the policy Terms & Conditions.

**Where relevant, applicable charges include non-refundable fund charges, fees we have paid to your Financial Adviser on your behalf and bank charges.*

Completing the form

To complete this form:

- use CAPITAL LETTERS only
- use blue or black ink
- specify choices as appropriate
- complete all relevant sections
- do not use correction fluid – cross through any amendments (initialled by all applicants).

Please note that we must receive your application form by post or courier. We are unable to accept faxed or emailed versions and the applications received in this way will not be processed. Please ensure that you complete all relevant sections. We will contact you regarding any missing information which will need to be provided to us in writing, and this may delay your application.

Note

Taxation information

Under Automatic Exchange of Information (AEOI) regulations, Quilter International is required to obtain information about an applicant's tax status. To enable us to comply with these regulations, when submitting this application form, you must also submit the **'Tax declaration and self-certification – for individual investors' for applications by individuals or the 'Taxation information and self-certification – for entity investors' for corporate and trustee applicants.**

Completion and submission of a self-certification is mandatory and failure to provide one could result in your Portfolio being reported under AEOI by default. If any of the information contained in the self-certification changes please advise Quilter International promptly so we can determine if a new self-certification is required.

Section A – Type of application

Type of application

Type of client (✓) Individual Joint Corporate/Corporate trustee Trustee

If this is an application for a NEW POLICY, please indicate which type of policy you are applying for: (✓)

Life assurance – International Executive Portfolio - Life Capital redemption – International Executive Portfolio - Redemption

Additional investment

If this is an application for an ADDITIONAL INVESTMENT, please provide your existing policy number: (you can find this in your policy documentation)

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Note – Unless your details have changed, you only need to complete the full forename(s) and surname of each policyholder and life assured (if applicable) in section B1 if you are an individual applicant/trustee, or the name of the company in B2 if you are a corporate applicant, and C. The other relevant sections must also be completed.

Policy currency

I wish my Policy to be valued in (✓) £ US\$ € Other (state currency)

Please note if no currency is entered your policy currency will be pound sterling (£). THE POLICY CURRENCY CANNOT BE CHANGED AFTER THE POLICY IS SET UP.

Section B1 – Details of the applicant(s)/trustee(s)

Applicants must be at least 18 years old and no older than 89 years. The maximum age for a life assured is 89 years and the minimum age is three months. **If there are any further applicants, please photocopy this page, attach the details with this application form and tick here (✓)**

Note – If additional pages are added, each separate page must be initialled by all applicants.

	Applicant/Trustee 1	Applicant/Trustee 2 (if any)
Title (✓)	Mr Mrs Miss Other	Mr Mrs Miss Other
Forename	<input type="text"/>	<input type="text"/>
Middle name(s)	<input type="text"/>	<input type="text"/>
Last name	<input type="text"/>	<input type="text"/>
Previous name/alias/ maiden name (✓)	Previous name Alias name Maiden name	Previous name Alias name Maiden name

Section B2 – Corporate /corporate trustee applicant

In this section, please give details of the corporate applicant.

Corporate /Corporate trustee applicant

Please tick (✓)

Private company

Public company

Other

Corporate name

Contact person

Country of registration

Date of incorporation

D	D	M	M	Y	Y	Y	Y
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Registered office address

(This information must be provided
in full. We are unable to accept
PO Boxes and 'care of' addresses)

<input type="text"/>	
<input type="text"/>	
Country	Postcode

Correspondence address

<input type="text"/>	
Country	Postcode

Quilter International accepts no responsibility for the consequences of sending documentation to this correspondence address, or to an address notified subsequently. Quilter International reserves the right to send correspondence to your residential address where regulations prevent it being sent to any other address.

We will default to the registered office address if the correspondence address field is not completed.

Telephone number including area
code (daytime)

Email address

Corporate website address

Please state the company's main
business (for example manufacturing or
trading company)

Authorised signatories

Please confirm the minimum number of authorised signatories of the company needed to give instructions

Note

The company directors/authorised signatories of the company must complete and sign the declaration in section J.

Additional documents are needed to evidence the identity of at least two directors, one of whom must be an executive director of the company.

If there is only a sole director we will require evidence of identity for this individual and at least one other authorised signatory.

Section B3 – Details of the trust (if applicable)

In this section, please give the following details: the name of the trust (for example, 'the Mary Jones Policy Trust dated 1 April 1990', 'the John Brown Will Trust') and the date the trust was created on.

The trust name is:

The trust was created on:

D	D	M	M	Y	Y	Y	Y
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Trust details: (Please explain the reason for the establishment of the trust, what type of trust it is and detail the source/origin of the trust assets.)

Trust assets

Please provide an indication of the total value of the trust's assets

Currency (✓)

£

US\$

€

Other (state currency)

Amount

Section B4 – Politically exposed person

If the applicant(s), or any other party connected to this application either now or in the past/future, could be classed as a politically exposed person (PEP), or connected with a PEP, please provide details. A politically exposed person is someone holding an important public position, or a person clearly related to them. Examples of these are: judicial or military officials, senior politicians, senior executives of publicly owned corporations, senior Government officials and Important political officials.

PEP Name

Connection to applicant

Details of PEP

Section B5 – Existing contracts

Please provide details of any existing Quilter International contracts you have or are making payments to (if applicable)

Type of contract

Type of contract

Policy number

Policy number

All applicants must complete and sign the declaration in section J

Section C – Details of the life/lives assured

Note – Do not complete this section if you have selected capital redemption in section A as there are no lives assured on a capital redemption portfolio and we will not record the details if you fill in this section in error.

Minimum age for life assured is three months and maximum age is 89 years.

First/additional life assured (if any)

Second/additional life assured (if any)

Is the applicant(s) also going to be a life assured?

Yes

No

Yes

No

Please note you do not need to complete this section if the applicant(s) is/are going to be the only life/lives assured.

If further space is required for additional lives assured please photocopy this page, complete the details, have all the applicants initial each page and attach this to the application form. (✓)

Title (✓)	Mr Mrs Miss Other	Mr Mrs Miss Other																
Forename	<input type="text"/>	<input type="text"/>																
Middle name(s)	<input type="text"/>	<input type="text"/>																
Last name	<input type="text"/>	<input type="text"/>																
Previous name or alias	<input type="text"/>	<input type="text"/>																
Gender (✓)	Male Female	Male Female																
Date of birth	<table><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y	<table><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y											
D	D	M	M	Y	Y	Y	Y											
Nationality	<input type="text"/>	<input type="text"/>																
Dual nationality (if applicable)	<input type="text"/>	<input type="text"/>																
Residential/home address (Where you are currently living. We do not accept c/o and PO Box addresses)	<input type="text"/> <input type="text"/> <input type="text"/> Postcode Country	<input type="text"/> <input type="text"/> <input type="text"/> Postcode Country																
Relationship of life assured to applicant	<input type="text"/>	<input type="text"/>																

Section D – Quilter International charges

Note – Before completing this section, please ask your financial adviser for a copy of the charging structure details recommended to you.

The charging structure for your portfolio is based upon the reference code provided on this application; this will dictate the level, term and type of charges that apply and these will be confirmed to you in your policy documents. These charges will include our administration costs together with those incurred in making any initial commission payment to your Financial Adviser.

If you have agreed to pay your Financial Adviser an ongoing commission payment then this will be reflected in the deduction of an additional Ongoing Service Charge equivalent to the amount agreed.

Quilter International's charges

Please enter the code for the Quilter International charging structure that your Financial Adviser has explained will apply to your portfolio. The appropriate Quilter International charging structure should be used. Failure to provide the right code could result in the incorrect charging structure being applied and/or delays.

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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Please enter Allocation Percentage for this portfolio (if applicable)

<input type="text"/>	<input type="text"/>	<input type="text"/>	.	<input type="text"/>	<input type="text"/>	%
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Please enter the Ongoing Service Charge (if applicable)

<input type="text"/>	<input type="text"/>	<input type="text"/>	.	<input type="text"/>	<input type="text"/>	%
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Section E – Investment details

Premium payment

Amount to be invested (in policy currency)

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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Payment method (✓) Electronic bank transfer Cheque Asset transfer

Note

The premium payment must come from an account held in the name of the applicant(s).

The minimum initial investment amount for the International Executive Portfolio is £50,000 (US\$75,000, €75 000*) and the minimum additional investment is £2,500 (US\$3,750, €3 750*).

Please enclose your copy of receipt of your electronic bank transfer payment and your 'Transferring Assets to your bond/ account' form with this application form.

* or other currency equivalent

Sterling payments

From UK banks (CHAPS* payments)

Sort code: 55-91-00
Bank: Isle of Man Bank, East Region,
2 Athol Street, Douglas, Isle of Man
Beneficiary: Quilter International Isle of Man Limited
IBAN***: GB94NWBK55910010939946

From non-UK banks (SWIFT** payments)

SWIFT code: RBOSIMD2XXX
Sort code: 55-91-00
Bank: Isle of Man Bank, East Region,
2 Athol Street, Douglas, Isle of Man
Beneficiary: Quilter International Isle of Man Limited
IBAN: GB94NWBK55910010939946

Other currency payments (SWIFT payments)

Payments should be made to Quilter International Isle of Man Limited's accounts held with National Westminster Bank, London.

SWIFT code: NWBKGB2LXXX
Bank: National Westminster Bank, London
IBAN: (select as applicable, see below)

1. US Dollar	IBAN – GB05NWBK60730167544800	7. Japanese Yen	IBAN – GB40NWBK60730167538835
2. EURO	IBAN – GB63NWBK60720267545858	8. New Zealand Dollar	IBAN – GB26NWBK60730167576141
3. Australian Dollar	IBAN – GB18NWBK60730167535836	9. Norwegian Krone	IBAN – GB23NWBK60730167568823
4. Canadian Dollar	IBAN – GB80NWBK60730167521916	10. Singapore Dollar	IBAN – GB53NWBK60730167598838
5. Danish Krone	IBAN – GB22NWBK60730167545270	11. Swedish Krona	IBAN – GB69NWBK60730167554997
6. Hong Kong Dollar	IBAN – GB52NWBK60730167555691	12. Swiss Franc	IBAN – GB14NWBK60730167541534

* CHAPS is an electronic bank-to-bank same day value payment made in the UK in pound sterling (£).

** SWIFT is an acronym for Society for Worldwide Interbank Financial Telecommunications.

*** IBAN stands for international bank account number and is always used in conjunction with a bank identifier code (BIC).

Section F – How the bond assets are invested and managed

In this section, you will tell us how your bond will be invested and how it will be managed. You can request Quilter International to appoint a Discretionary Asset Manager or you can appoint a fund adviser to manage the Assets held with each custodian.

Default Custodian – Assets held by Quilter International

Authorised Custodian – Assets held by an authorised third party custodian

The options available to choose from are:

- 1) All Assets held by our default Custodian
- 2) Some Assets held by our default custodian and some by an Authorised Custodian
- 3) All Assets held by an Authorised Custodian
- 4) All Assets held by up to three different Authorised Custodians

Where you request to appoint more than one Authorised Custodian, you also need to select a lead custodian who we will instruct to sell assets to pay for portfolio fund charges debited to the transaction account held with Quilter International. Please refer to your Policy Terms for further information on the portfolio fund charges debited to the transaction account.

Investment Options

- 1) You can manage the investment yourself
- 2) Request that we appoint a Discretionary Asset Manager on a Discretionary basis
- 3) You can appoint a Fund Adviser on a Discretionary or Advisory basis

IMPORTANT: The option to request a Discretionary Asset Manager is only available where we have established legal agreements in place with the Discretionary Asset Manager. You must complete a form for each Authorised Custodian and fund adviser you wish to appoint and/or each Discretionary Asset Manager you wish us to appoint. Your Financial Adviser will be able to provide you with the relevant forms.

Please detail the investment manager that you wish to appoint to manage the Assets at the Authorised Custodian(s).

Please select the custodian(s) that will hold the assets.	Name of custodian	Please select the investment manager for each selected custodian		
		Policyholder	Fund adviser	Discretionary asset manager
Default custodian	Default			
Default custodian and one authorised custodian	Default			
One authorised custodian				
Two authorised custodians	Lead:			
Three authorised custodians	Lead:			

Transferring your premium and/or assets

You can send your premium via electronic bank transfer and/or Asset transfer. Please confirm below how much of your premium will be held with the custodian selected, if applicable, and how the premium will be sent.

Assets held by	Premium payment being sent by (please state currency and amount/value)		Asset transfer (please state currency and total value of Assets) See note 2 below
	Electronic bank transfer	Payment to be sent to	
Default custodian		Default Custodian See note 2 below	
An authorised custodian		Quilter International Ireland Authorised Custodian	
A second authorised custodian		Quilter International Ireland Authorised Custodian	
A third authorised custodian		Quilter International Ireland Authorised Custodian	

- Notes**
- 1) A detailed list of any Asset to transfer must be supplied with the application and approved by Quilter International before any transfer takes place
 - 2) If you have selected the default custodian, electronic bank transfers and asset transfers must be sent to Quilter International.
 - 3) If you have selected to use an Authorised Custodian, asset transfers must be sent direct to the Authorised Custodian and electronic bank transfers sent to Quilter International.



Selected assets to invest with our default custodian

Please note you can invest in as many Assets held with our default custodian as you wish, subject to a minimum of £400 or currency equivalent. Some Asset providers may have a higher minimum investment requirement and this minimum will prevail. Please copy this page if more Assets are required than the available space.

Asset identifier ISIN/SEDOL	Asset Name	Share class	Base currency of fund	Investment % (whole % numbers only)
				%
				%
				%
				%
				%
				%
				%
				%
				%
				%
			Total	100%

In case we need to clarify the Asset choice details above, please provide us with a contact name and telephone number.

Contact name

Telephone number
including area code
(daytime)

Telephone number
including area code
(evening)

Fax number

E-mail address

Head office use only

Bond number

Net premium amount

Section G – Source of funding and origin of wealth

Bank details of where funds are being remitted from

The premium payment must come from an account held in the name of the applicant(s) and **NOT** a third party.

If multiple payments are being received, please complete this section for each payment (further space available on following page).

Bank details 1

Payment currency

£

US\$

€

Other (state currency)

Payment amount

Name as stated on bank account

Sort code
(If applicable)

 - -

Branch code for
non-UK payments

ABA number

Account number/IBAN

SWIFT or BIC code (if applicable)

Bank name	<input type="text"/>	
Bank's full address	<input type="text"/>	
	<input type="text"/>	
	Country <input type="text"/>	Postcode <input type="text"/>

How long have you held this account? years months

Further payments – if applicable

Bank details 2

Payment currency	£	US\$	€	Other (state currency)
Payment amount	<input type="text"/>			
Name as stated on bank account	<input type="text"/>			
Sort code (If applicable)	<input type="text"/> <input type="text"/> <input type="text"/>	-	<input type="text"/> <input type="text"/> <input type="text"/>	-
				Branch code for non-UK payments
ABA number	<input type="text"/>			
Account number/ IBAN	<input type="text"/>			
SWIFT or BIC code (if applicable)	<input type="text"/>			
Bank name	<input type="text"/>			
Bank's full address	<input type="text"/>			
	<input type="text"/>			
	Country <input type="text"/>	Postcode <input type="text"/>		

How long have you held this account? years months

- Accounts within the UK, Jersey, Guernsey, Isle of Man or Gibraltar require a bank account number and sort code.
- Premium payments made from banks outside the UK require a SWIFT or Bank Identifier Code (BIC), and an International bank account number (IBAN).
- An ABA number is a code used for electronic payment to and from a US bank account.

Bank details 3

Payment currency	£	US\$	€	Other (state currency)
Payment amount	<input type="text"/>			
Name as stated on bank account	<input type="text"/>			
Sort code (If applicable)	<input type="text"/> <input type="text"/> <input type="text"/>	-	<input type="text"/> <input type="text"/> <input type="text"/>	-
				Branch code for non-UK payments
ABA number	<input type="text"/>			
Account number/ IBAN	<input type="text"/>			
SWIFT or BIC code (if applicable)	<input type="text"/>			

Bank name		
Bank's full address		
	Country	Postcode
How long have you held this account?	<input type="text"/> <input type="text"/>	years <input type="text"/> <input type="text"/> months

Employment details

This section must be completed in all instances.

Please give details of your employer or your own company, if self-employed.

If you have retired or are not currently employed please include details of your previous employer or your own company. Please also enter your final year's salary/income and bonus if any. If you have never been employed, please state N/A.

	Applicant/Trustee 1	Applicant/Trustee 2 (if any)
Employment status (✓)	<div>Employed</div> <div>Self Employed</div> <div>Retired</div> <div>Not employed</div>	<div>Employed</div> <div>Self Employed</div> <div>Retired</div> <div>Not employed</div>
Date of retirement/unemployment (if applicable)	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Company name		
Full address of company		
	Postcode	Postcode
Country		
Company website (if any)		
Occupation		
Last year's gross salary		
Salary currency	<div>£</div> <div>US\$</div> <div>€</div> <div>Other (State Currency)</div>	<div>£</div> <div>US\$</div> <div>€</div> <div>Other (State Currency)</div>
Last year's bonus		
Bonus currency	<div>£</div> <div>US\$</div> <div>€</div> <div>Other (State Currency)</div>	<div>£</div> <div>US\$</div> <div>€</div> <div>Other (State Currency)</div>
If you receive income from another SOURCE (e.g. dividends, interest; please provide details here)		

Reason for investment

e.g. saving for retirement	
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Full details of origin of wealth and income

Note – We need to understand how your premium was acquired. Please provide full details of this below

Description	Details required	Details	
Accumulated earned income (including salary, bonus and fees)		Currency:	Amount:
	Number of years income accumulated		
	Name of account where funds have been held		
	Account number		
	Institution where it has been held		
	How long it has been held		
	Has the income been accumulated from the employer detailed in this form? (If no please provide further details below)	Yes	No
	Name of employer		
	Full address of employer		
			Postcode
	Nature of company business		
	Occupation		
	Annual gross salary	Currency:	Amount:
	Relevant bonus details	Currency:	Amount:
Sale of interest in company	Name of company		
	Nature of business		
	Address of company		
			Postcode
	Connection to company (i.e. owner, partner, shareholder, etc.)		
	Total sale amount	Currency:	Amount:
	Date of sale	<div> <div>D</div> <div>D</div> <div>M</div> <div>M</div> <div>Y</div> <div>Y</div> <div>Y</div> <div>Y</div> </div>	
	Net amount you received from sale (minus charges, solicitors fees etc.)	Currency:	Amount:

Transfer of pension

Pension name

Pension account number

Pension value

Currency:

Amount:

Transfer date

D	D	M	M	Y	Y	Y	Y
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Transfer of pension

Pension name

Pension account number

Pension value

Currency:

Amount:

Transfer date

D	D	M	M	Y	Y	Y	Y
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Transfer of pension

Pension name

Pension account number

Pension value

Currency:

Amount:

Transfer date

D	D	M	M	Y	Y	Y	Y
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Sale of shares/investmentsName of company that held the shares/
investments
(i.e. bank, stockbroker or insurance company)

Address of the above company

Postcode

How were they sold? (i.e. bank, stockbroker or
other agent, if applicable)Description of shares/investments
(i.e. government bonds, equities, etc.)

Length of time shares/investment held

Total amount of sale

Currency:

Amount:

Date of sale

D	D	M	M	Y	Y	Y	Y
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Sale of property

If you are not the beneficial owner of this property, please select a different option for Origin of Wealth that is more appropriate

Address of property sold (including postcode if applicable)

Postcode

Total sale amount

Currency:

Amount:

Date of sale

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Net amount you received from sale

Currency:

Amount:

Inheritance

Donor's name

Relationship to donor

Date of donor's death

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Details of inheritance (i.e. cash, property, shares etc.)

Amount received

Currency:

Amount:

Date received

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Gift

Name of person who gave the gift

Relationship to donor

Reason for gift

Description of gift

Total amount received

Currency:

Amount:

Date received

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Competition or gambling win

Name of organisation

How was the money won?

Total amount won

Currency:

Amount:

Date received

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Maturing investment/policy claim/replacement policy

Reason for policy claim or replacement policy (if applicable)

Name of company/policy provider

Name of person who held the policy

Total amount received

Currency:

Amount:

Surrender penalty incurred (if any)

Date received

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Length of time investment/policy held



Compensation	Who paid the compensation (i.e. name of organisation or individual)			
	Reason for compensation			
	Total amount received	Currency:	Amount:	
	Date received	<div> <div>D</div> <div>D</div> <div>M</div> <div>M</div> <div>Y</div> <div>Y</div> <div>Y</div> <div>Y</div> </div>		
Loan	Name of loan provider			
	Address of loan provider			
				Postcode
	Reason for loan			
	Total amount borrowed	Currency:	Amount:	
	Date of loan	<div> <div>D</div> <div>D</div> <div>M</div> <div>M</div> <div>Y</div> <div>Y</div> <div>Y</div> <div>Y</div> </div>		
Other	Nature of activities generating the wealth			
	Role in relation to above activities			
	Period over which the activities occurred			
		Currency:	Amount:	
	Date received	<div> <div>D</div> <div>D</div> <div>M</div> <div>M</div> <div>Y</div> <div>Y</div> <div>Y</div> <div>Y</div> </div>		

Section H – Regular withdrawals

Note

WHAT ABOUT TAX?

We strongly suggest you contact your Financial Adviser before making a decision to take regular withdrawals, in order to make sure that this is the most suitable withdrawal option for you, and also to find out if this will have any tax consequence.

Note – Minimum amount for regular withdrawals is £500/\$750/€750 (or currency equivalent) regardless of frequency.

Withdrawal amount

Total amount to be withdrawn each year

OR

Percentage of premium to be withdrawn each year

%

Payment currency	£	US\$	Other (state currency)	
Withdrawal frequency (✓)	Monthly	Every two months	Quarterly	Every four months
	Half-yearly	Yearly		

Date of first payment

(If your Policy has not been accepted by us on the due date then your first payment will be made on the next payment date according to the frequency chosen.)

D

D

M

M

Y

Y

Y

Y

Payee details

We will only pay withdrawals to policyholders and will not make payments to third parties.

Would you like your withdrawals to be made to the same bank account detailed in Section G 'Source of Funding'? Yes No

If 'No' please complete the section below.

If 'Yes' and you have entered more than one set of bank account details in the 'Source of Funding' section, which details shall we use? 1 2 3

Until further notice, I/we would like regular withdrawals to be made to:

Name as stated on bank account

Bank account number/IBAN

Sort code - - Branch code for non-UK payments

ABA number

SWIFT or BIC code

(SWIFT code needed for bank accounts outside Europe; BIC code needed for European accounts with an IBAN)

Bank name

Bank address

Country

Postcode

Nominated Asset(s)

Please state which Asset(s) listed in Section F is/are to be used as the Nominated Asset to pay regular withdrawals. This will only apply where you have not chosen an Authorised Custodian.

Note – You are required to keep a sufficient balance in your nominated Asset to cover all withdrawals and fees debited to your policy. Therefore we are unable to pay any withdrawals or fees if there is an insufficient balance in your nominated Asset.

If there are any further nominated Assets, please photocopy this page, attach the details with this application form and tick here

ISIN/SEDOL	Name	Percentage	%
ISIN/SEDOL	Name	Percentage	%
ISIN/SEDOL	Name	Percentage	%
ISIN/SEDOL	Name	Percentage	%
ISIN/SEDOL	Name	Percentage	%

Section I – number of policies – new contracts only

Number of policies you would like

Note

No more than 9,999 policies are available.

If you do not specify the number of policies, we will issue 12.

The amount of policies cannot change after your portfolio is live.

The minimum investment amount for each individual policy is £500, US\$750 or €750, e.g., if the investment is £25,000, the maximum number of policies available is 50.

Section J – Declarations and application

If there are more than two applicants, please photocopy all of this section, complete the details, have all applicants initial each additional page and attach this to the application form and tick here (✓)

Data Privacy Statement

I understand that Quilter International Business Services and Quilter International Isle of Man Limited (Quilter International) will process personal information about me and any other party whose personal information I have provided.

The type of personal information processed about me will depend on the purpose for which it has been collected and will include:

- my contact details
- information to verify my identity
- information about my family, lifestyle, health and finances
- my payment details.

The processing of my personal information may take place in a number of jurisdictions and may be shared with other parties within or outside Quilter plc for the general purpose of establishing, maintaining and servicing an insurance policy.

The sharing of my personal data may be used for any or all of the following purposes, to:

- check against credit reference or other databases to verify information provided for regulatory due diligence purposes and to prevent or detect financial crime including money laundering, terrorist financing, bribery and corruption, sanctions listing or fraud;
- allow for the provision of services relating to enhanced due diligence, underwriting, reinsurance, data hosting, online services, payment or reporting of any tax or levy, or any other services provided from time to time;
- enable an appointed Financial Adviser or Fund Adviser to assist in the provision of services to the policyholder;
- compile statistical analysis or market research, where information is not specific to the individual;
- comply with any legal obligation which includes the releasing of personal information to regulators, law enforcement authorities or other bodies where there is a legal requirement to do so, including the sharing of information under regulations relating to the U.S Foreign Account Tax Compliance Act and The Organisation for Economic Co-operation and Development Common Reporting Standard;
- enable an appointed Discretionary Asset Manager or custodian to meet their legal or regulatory requirements, where that Discretionary Asset Manager or custodian providing services in relation to a policy requests the personal data of an individual linked to an application, and where we are satisfied that such a Discretionary Asset Manager or custodian has a legal or regulatory requirement to make such a request.

Where my personal information is shared with a third party for the provision of services relating to my policy, my personal information will only be used for the purposes for which it was collected. In some circumstances this may involve a transfer of my personal information to a third party outside the European Economic Area (EEA). Whenever my personal information is shared it will be subject to the same levels of security and protection that Quilter International would apply.

I may ask Quilter International to:

- provide a copy of personal information held about me and an explanation of how this data is processed;
- update or correct my personal information;
- delete information about me (where it is no longer necessary in relation to the purpose for which it was originally collected);
- restrict processing of my personal information where appropriate. I may also object to Quilter International processing my data but understand that this may have consequences in Quilter International being able to continue servicing my policy.

I have been made aware that a full explanation of how Quilter International collects, uses and shares my personal information can be found at www.quilterinternational.com

If I have any questions about data privacy I can address these to:

Quilter International Isle of Man Limited:

The Data Protection Officer, Quilter International Isle of Man Limited, King Edward Bay House, King Edward Road, Onchan, Isle of Man, British Isles, IM99 1NU.

If I have a complaint about the processing of my personal information and Quilter International is unable to provide a satisfactory response I may contact the appropriate regulator:

The Isle of Man Information Commissioner, First Floor, Prospect Hill, Douglas, Isle of Man, IM1 1ET.

Warning

Please read the following declarations carefully.

Any omission or misstatement of a material fact in this application could affect the payment of benefits under the International Executive Portfolio. A material fact is one which is likely to influence the assessment and acceptance of the application.

If you are uncertain whether a fact is material, you should give full details so that we can assess its possible significance. If you become aware of such a fact while we are considering your application, you should notify us immediately.

You should satisfy yourself that you are able to effect the proposed contract under any taxation, exchange control or insurance law to which you may be subject.

Declaration for the application of the portfolio

A copy of this completed application form is available on request. A copy of the policy terms for your application is available on the Quilter International website. Links to these policy terms are provided below:

- International Executive Portfolio - Life - www.quilterinternational.com/globalassets/documentsint/t-and-c/18629_international_executive_portfolio_life_policy_terms.pdf
- International Executive Portfolio - Redemption - www.quilterinternational.com/globalassets/documentsint/t-and-c/18630_international_executive_portfolio_redemption_policy_terms.pdf

Quilter International Isle of Man Limited will be referred to as Quilter International throughout this declaration.

1. For individual applicants only. I understand that I will have an Online Service Account set up, should I wish to activate it. If I do activate my Online Service Account, whilst I am submitting this application through my Financial Adviser to your Head Office, I agree that:
 - (a) I will apply for and sign onto my Online Service Account; and
 - (b) Policy Transactions may be made by me using my Online Service Account where the Online Service allows, and
 - (c) Communications from you may be through my Online Service Account where the Online Service allows.
2. I understand and agree that I am applying to enter into a new contract (or a top up to an existing contract) with Quilter International, it will be subject to the laws of the Isle of Man and the Policy Terms will be in the English language.
3.
 - (a) If a policy number is not shown in section A of this form, I request that the amount shown in section E be invested as an initial premium for policies comprising an Quilter International – International Executive Portfolio, and request Quilter International to issue the policies in my/company/corporate trustee name, and jointly with the other applicants, if any; or
 - (b) I request that the amount shown in section E be invested as an additional premium for the policies currently in force bearing policy numbers consisting of the policy number, as shown in section A of this form.
4. I declare to the best of my knowledge and belief that the statements made in this application, and any related documents, are true and complete. I have not concealed a material fact. I agree to provide Quilter International with any further information in respect of this application on request.
5. I confirm that Quilter International has not provided any investment advice and I or my fund adviser or the Discretionary Asset Manager are responsible for the selection of Assets to be linked to my Portfolio Fund. I acknowledge that Quilter International is not responsible for any loss suffered or reduction in the value of my Policy arising from my investment. Quilter International does not have any responsibility for the management of the underlying Assets chosen other than carrying out a treasury function in respect of the Transaction Account and Quilter International does not recommend any Asset as a suitable investment.
6. I confirm that I am not a resident, in, nor is the company/the corporate trustee incorporated in the United States of America or any of its territories. If I become resident or the corporate/the corporate trustee becomes incorporated in the United States of America or any of its territories, Quilter International may not be able to accept any further premiums until after I cease to be a resident or the corporate/the corporate trustee ceases to be incorporated in the United States of America or any of its territories.
7. I confirm that I have received a copy of the Policy terms 'Details of your International Executive Portfolio - Life' (ref IPL1), or 'Details of your International Executive Portfolio - Redemption' (ref IPR1), the International Executive Portfolio product brochure, the 'International Executive Portfolio - Life at a glance', or 'International Executive Portfolio - Redemption at a glance' and I have had the opportunity to read them before reviewing and signing this application.
8. I am aware of the charges payable on the International Executive Portfolio, including the charges payable in respect of the assets which may be held within it. I understand that the charges exist partly to meet advice, promotion and distribution expenses. These may include initial and ongoing payments (such as commission) made by Quilter International to my Financial Adviser. These payments could be in addition to any commission payable by the asset provider to the Financial Adviser in respect of the Assets held. I understand that Quilter International may receive payments in the form of fund manager rebates, from an asset provider in respect of the assets held, and which Quilter International may share with my Financial Adviser.
9. I authorise and request Quilter International to effect the Regular Withdrawals detailed in section H and confirm that such payments will discharge Quilter International from all liabilities and claims arising from those regular withdrawals. I understand that this authority supersedes any authority previously given.

10. I understand that in cases where the asset(s) selected is/are not redeemable for a certain period of time, Quilter International may not be able to return that part of my payment until the end of that period. The description of the funds and/or assets chosen will give details if this applies. Investment may be made immediately into non-daily dealing funds with the understanding that in the event of cancellation or requiring early access that:
 - (a) I may not get my money back immediately and payment may be delayed for some time;
 - (b) the institution may impose penalties and therefore I may get back less than I invested, and/or
 - (c) the only way in which to receive value may be through a transfer of the ownership of that asset into the name of the Policyholder.
11. I appoint the Financial Adviser to act on my behalf in accordance with the Policy Terms.
12. Where applicable, I confirm that each life assured (or their parent where parental consent is required) consents to this application, and agrees to my acting as their agent for the purpose of the information provided in this application.
13. The premium detailed in this application and any other premium tendered in respect of this application are derived solely from the source of funding provided and have, where required, been declared to the relevant tax authority in my country of residence for taxation.
14. The application for an Quilter International policy is not being made for the purpose of concealing funds, Assets or wealth with a view to the evasion of any taxes I am obliged to pay.
15. I have read and understood the Data Privacy Statement set out in this section and will make it available to other individuals whose Personal Data has been provided to Quilter International either in this application or within accompanying documentation.

For individual investors. I declare:

16. I am resident for taxation only in the country or countries shown in section B1 and am not resident for taxation elsewhere.
17. I am a national/citizen of the country (or countries in the case of dual nationality/citizenship) detailed in this application and am not a national or citizen of any other country.

Appointment of a Lead Policyholder – applicable if there is more than one applicant

18. I agree to the appointment of the Lead Policyholder, who is named in this application, for the policies comprising our International Executive Portfolio in accordance with the Policy Terms.
19. I understand that this appointment is revocable and can be changed at any time (as explained in the Policy Terms).
20. I understand that by agreeing to the appointment of the Lead Policyholder I authorise the Lead Policyholder to provide Quilter International with instructions to carry out and request certain Policy Transactions on behalf of all Policyholders. The instruction or request shall be deemed to have been addressed, sent and authorised on behalf of all Policyholders.
21. I understand that these instructions will be legally binding and that Quilter International can act on instructions received from the Lead Policyholder.

Additional declarations applicable to Corporate applicants:

22. I confirm that the Company has not been, or is not in the process of being dissolved, struck off, wound up or terminated.
23. The Company confirm that the Company shares are not held in bearer form and will not be converted to bearer share form.
24. I confirm that investment into the portfolio is within the investment powers available to the directors of the Company.

Additional declarations applicable to trust applicants, including trust companies:

25. I confirm that the Trust Company has not been, or is not in the process of being dissolved, struck off, wound up or terminated.
26. I confirm that investment into the International Executive Portfolio is within the investment powers available to the trustees of the trust.

Please enter the country in which this application form was completed.

This application must be completed by the applicant(s) unless you have asked your Financial Adviser to complete it.

Did you complete this application form yourself? (✓)	Yes	No
If No, did a third party, such as your Financial Adviser, complete it on your behalf? (✓)	Yes	No



By signing the Declarations in Section J of the application, you confirm that you have read them and, if a third party has completed the application form on your behalf, that all the information provided in it is correct.

Signature of Applicant 1/Trustee 1/
Authorised Signatory 1

D	D	M	M	Y	Y	Y	Y
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Signature of Applicant 2/Trustee 2/
Authorised Signatory 2

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Further copies of the Policy Terms and/or this completed application form are available on request.

Section K – Financial crime – risk rating

For all applicants – to be completed by your financial adviser

Note – Please refer to the origin of wealth guidelines (available from Quilter International) for information on how to complete the table below.

To prevent financial crime, Isle of Man authorised life companies may adopt a 'risk-based approach' when obtaining evidence of the origin of a client's wealth. In order to speed up the application process we have provided you with the table below to allow you to find out your client's risk rating before submitting the application form to us. **We highly recommend that you complete the risk rating as it allows you to determine if you need to enclose further documentary evidence with the application form or not. If we do not receive the necessary documentary evidence with the application form, then it will take longer to process the business.**

In order to decide what risk rating applies to your client's investment you need to take into account the following factors:

(a) your client's country of residence

(b) which country the premium is paid from

(a) + (b) = total risk rating

Applicant	Client country of residence (a)	Country of premium funding (b)	Total risk rating

Quilter International reserves the right to request further documentation if it is felt appropriate. Please note that each new application, or application for an additional investment, will be reviewed individually.

If you are unsure about a particular application, please contact your Quilter International business consultant in the first instance, or alternatively contact Quilter International's International Sales Support team directly on +44 (0)1624 655010.

Section L – Verification of customer identity

Please send the following supporting documentation with your signed and completed application.

Verification of Customer Identity - for individual investors

Please note we will not be able to issue your policy until the necessary identification documents have been provided.

We require one document from Part A and one from Part B below.

PART A – Individual whose identity is being verified

Valid in-date Passport

National ID card

PART B – Individual whose residential address is being verified

These must be less than three months old,

- A recent utility bill (electricity, gas, water), rates or council tax bill (excluding mobile/cell phone bills)
- A bank, mortgage or credit card statement (excluding store cards)
- An extract from the official register of electors
- State pension, benefit or other government produced document showing benefit entitlement
- A recent tax assessment document
- Proof of ownership or rental of the residential address

Document certification

Certification must state **“I certify this to be a true copy of the original”** and include the date of certification, the Certifier's full name, signature and job title.

Further documentary evidence

We may require further documentary evidence in addition to the documents already requested to support your application, particularly in relation to your country of residence and investment amount, before we can process the application. Your Financial Adviser can establish if further documentary evidence is needed by referring to our Origin of Wealth Guidelines or contacting us before sending in your application form.

Please enter what documentary evidence you are enclosing with this application form. (if applicable)

Note – Please note that we will not be able to commence the policy until this section has been completed and you have provided the necessary identification documentation.

Outlined below are the standard minimum requirements. In some circumstances we may need additional information.

Individual trustees

Enclosed (✓)

1. Verification of the identity and address of all individual trustees – please complete parts A and B on page 22.
2. Verification of the identity and address of the protector (where appropriate).
3. Evidence of the appointment of trustees (for example a certified copy of an extract from the trust deed, but not the whole deed) if the application is in respect of an existing trust.
4. The trustees (settlor(s) where policies to be settled into trust) should provide the name, current residential address and date of birth or death for all the parties to the trust, for example settlor/donor, protector, beneficiaries. If the beneficiaries are not named you must provide the class of beneficiaries, for example grandchildren. Please continue on a further sheet if necessary.

Capacity

Settlor/Donor

Protector

Beneficiary

Name

Date of birth or death

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Birth

Death

Residential address

Country	Postcode

Capacity

Settlor/Donor

Protector

Beneficiary

Name

Date of birth or death

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Birth

Death

Residential address

Country	Postcode

Capacity

Settlor/Donor

Protector

Beneficiary

Name

Date of birth or death

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Birth

Death

Residential address

Country	Postcode

Capacity

Settlor/Donor

Protector

Beneficiary

Name

Date of birth or death

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Birth

Death

Residential address

Country	Postcode

Class of beneficiary if not named (an extract of the deed may be provided)

You may also wish to provide identification documentation at the time of application/assignment for the named parties to the trust in order to avoid delays on subsequent transactions where documentary evidence is required, e.g. for beneficiaries.

Corporate and corporate trustees

Enclosed (✓)

1. A list of directors' names.
2. Verification of the identity of at least two directors, one of whom must be an executive director.
3. A list of authorised signatories, specimen signatures and evidence of their signing power(s).
4. The corporate or corporate trustee's Certificate of Incorporation or other official registration document.
5. Evidence of the registered office of the corporate or corporate trustee.
6. Trading company – a copy of the latest annual reports and accounts.
Non-trading company – evidence of the origin of wealth.
7. Private limited companies – verification of the identity of all shareholders holding 25% or more of the issued share capital as at the date of application. Where the shareholders are not individuals we will require verification of the identity of the ultimate beneficial owner of those entities and their relationship to the company.

All information should be provided on letterhead.

Confidentiality

Any information given by the client(s) or their Financial Adviser will be used solely by members of the Quilter plc companies.

Verification of identity of individuals

We require one document from part A and one from part B. If neither document in part A is available, please provide two formal documents showing appropriate personal details and verifiable reference numbers from part B. Identification documentation must be current and valid. Evidence of address should be the latest available, but no more than three months old.

Note – Please tick (✓) to indicate the identification you have supplied for each individual party to the portfolio. If a fund adviser has been appointed we may need to verify the identity of the appointee.

Part A	Individual whose identity is being verified	
1. Name		
Capacity		
Type of document	Passport	National identity card
Document reference		
2. Name		
Capacity		
Type of document	Passport	National identity card
Document reference		
3. Name		
Capacity		
Type of document	Passport	National identity card
Document reference		
4. Name		
Capacity		
Type of document	Passport	National identity card
Document reference		

Part A – Reason why documents are not provided (if applicable)

Part B	Individual whose residential address is being verified			
These must be less than three months old	1	2	3	4
1. A recent utility, rates or council tax bill (mobile/cell phone bills not acceptable)				
2. A recent mortgage statement, giving the residential address				
3. An extract from the official register of electors				
4. A state pension, benefit or other government produced document showing benefit entitlement				
5. A recent tax assessment document				
6. A recent account statement from bank or credit card (store cards not acceptable)				
7. Proof of ownership or rental of the residential address				

Section M – Financial adviser/suitable certifier declaration

This section must be completed in all instances.

Declaration by the financial adviser/suitable certifier

I declare that:

- I have verified the contents of the original documents where copies have been enclosed and confirm that they are true copies of the originals
- I have taken reasonable steps to make sure that the funding is legitimate and in line with the applicant's circumstances
- to the best of my knowledge and belief, all the information provided in and with this application is true and complete and was obtained from the applicant(s) who is/are of good standing. I also confirm I will provide further information if required
- I have provided the applicant(s) with their Key Information Document where applicable.
- I have not made any changes to the application form after the client has signed it.

I confirm that I gave advice concerning this investment to the applicant(s) in

Country

on

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

I confirm that, if applicable, all information provided was received directly from the applicant(s).

Regulatory body authorisation number (if applicable)

Regulator name

Quilter International financial adviser account number

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Full name of introducer firm

Full name of financial adviser/suitable certifier

Signature of financial adviser/suitable certifier

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Introducer firm stamp

www.quilterinternational.com

Calls may be monitored and recorded for training purposes and to avoid misunderstandings.

Quilter International Isle of Man Limited is registered in the Isle of Man under number 24916C.

Registered and Head Office: King Edward Bay House, King Edward Road, Onchan, Isle of Man, IM99 1NU, British Isles.

Phone: +44 (0)1624 655 555 Fax: +44 (0)1624 611 715. Licensed by the Isle of Man Financial Services Authority.

Quilter International is registered in the Isle of Man as a business name of Quilter International Isle of Man Limited.

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